

Electronic Acknowledgement Receipt

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|---|---|
| EFS ID: | 5322017 |
| Application Number: | 09839888 |
| International Application Number: | |
| Confirmation Number: | 5617 |
| Title of Invention: | Open end mutual fund securitization process |
| First Named Inventor/Applicant Name: | Kenneth Kiron |
| Customer Number: | 25541 |
| Filer: | James P. Muraff/Marianne Hall |
| Filer Authorized By: | James P. Muraff |
| Attorney Docket Number: | 21240.01US5 |
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| Application Type: | Utility under 35 USC 111(a) |

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File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
|-----------------|----------------------|------------------------------|--|------------------|------------------|
| 1 | | ResponsetoOA01US5-51209. PDF | 106359 6dbab4a96d60c7e31efe67b8858bfa6a90f2b79e | yes | 17 |

Multipart Description/PDF files in .zip description

| Document Description | Start | End |
|---|-------|-----|
| Amendment/Req. Reconsideration-After Non-Final Reject | 1 | 1 |
| Claims | 2 | 14 |
| Applicant Arguments/Remarks Made in an Amendment | 15 | 17 |

Warnings:

Information:

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|---|-------------------------|--------------|---|----|---|
| 2 | Fee Worksheet (PTO-875) | fee-info.pdf | 29582 32207e3c0d7758f8c8ebddba3f130a137acda2a6 | no | 2 |
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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